

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Kim *et al.*

Serial No. To be assigned

Filed: Concurrently herewith

**For: METHODS OF FABRICATING A SEMICONDUCTOR SUBSTRATE FOR  
REDUCING WAFER WARPAGE**

Date: March 23, 2004

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

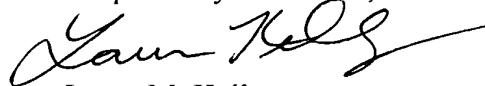
**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP. No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,



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Carey Gregory

<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office  <b>LIST OF DOCUMENTS CITED BY APPLICANT</b>  (Use several sheets if necessary)				Attorney Docket Number 5649-1226		Serial No. To Be Assigned	
				Applicants: <span style="float: right;">Kim et al.</span>			
				Filing Date: Concurrently Herewith		Group	
U. S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	2002/0132393	9/19/02	Kraxenberger et al.	438	127	
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes   No
	2.	1020010008576	2/5/01	Korea			abstract
	3.	1020000003235	1/15/00	Korea			abstract
	4.	06-216064	8/5/94	JAPAN			abstract
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							

 EXAMINER \_\_\_\_\_  
 \*EXAMINER

DATE CONSIDERED

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.